

## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/792,020

03/03/2004

Khushroo Gandhi

100/03740

021569

CALIPER LIFE SCIENCES, INC. 605 FAIRCHILD DRIVE MOUNTAIN VIEW, CA 94043-2234 BATTER 4 2004 19 2004

CONFIRMATION NO. 1366

**FORMALITIES LETTER** 

\*OC00000012747321\*

Date Mailed: 05/24/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$900 for a Large Entity

07/21/2004 MAHMED1 00000087 030177 10792020.

• \$770 Statutory basic filing fee.

• \$130 Late oath or declaration Surcharge.

01 FC:1001

770.00 DA

02 FC:1051 130.00 DA

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

# A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known TRAD! **TRANSMITTAL** 10/792,020 **Application Number** 03/03/2004 Filing Date for FY 2004 First Named Inventor Khushroo Gandhi Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Unknown Applicant claims small entity status. See 37 CFR 1.27 Art Unit Unknown 900.00 TOTAL AMOUNT OF PAYMENT (\$) 100/03740 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money 3. ADDITIONAL FEES Check Credit card Other None Order Large Entity | Small Entity ✓ Deposit Account: Fee Fee Description Deposit Code (\$) Code Fee Paid 03-0177 Account 1051 130 2051 65 Surcharge - late filing fee or oath 130 Number Deposit 1052 50 2052 25 Surcharge - late provisional filing fee or **Caliper Technologies Corp** Account cover sheet Name 130 Non-English specification 1053 1053 130 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Charge fee(s) indicated below Credit any overpayments 920 1804 920\* Requesting publication of SIR prior to Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1,840 1805 1,840\* Requesting publication of SIR after to the above-identified deposit account. Examiner action 1251 110 2251 Extension for reply within first month **FEE CALCULATION** Extension for reply within second month 1252 420 2252 210 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Description Fee Paid Fee Fee Code (\$) 1.480 2254 740 Extension for reply within fourth month Code (\$) 2255 1,005 Extension for reply within fifth month 1255 2.010 2001 385 Utility filing fee 1001 770 770 1401 330 2401 2002 170 Design filing fee 165 Notice of Appeal 1002 340 165 Filing a brief in support of an appeal 1402 330 2402 1003 530 2003 265 Plant filing fee 1403 290 2403 145 Request for oral hearing 2004 385 Reissue filing fee 1004 770 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1005 160 2005 80 Provisional filing fee 1452 110 2452 55 Petition to revive - unavoidable **SUBTOTAL (1)** (\$) 770 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee from Ext<u>ra Claim</u>s Fee Paid 1502 480 2502 below 240 Design issue fee **Total Claims** 0 -20\*\* = 0 X 1503 640 320 Plant issue fee

| Independent<br>Claims<br>Multiple Deper  | 0 - 3**<br>ndent     | = 0 x 86 = 0   | 1460<br>1807 | 130<br>50   | 1460<br>1807 |     | Petitions to the Commissioner Processing fee under 37 CFR 1.17(q)          |     |
|--|----------------------|--|--------------|---|--------------|-----|--|-----|
| Large Entity   Small Entity  |                      | 5 - 5  | 1806         | 180   | 1806         | 180 | Submission of Information Disclosure Stmt                                  |     |
| Fee Fee<br>Code (\$)   | Fee Fee<br>Code (\$) | Fee Description  Claims in excess of 20                    | 8021         | 40  | 8021         | 40  | Recording each patent assignment per property (times number of properties) |     |
| 1202 18<br>1201 86   | 2202 9<br>2201 43    | Independent claims in excess of 3                          | 1809         | 770   | 2809         | 385 | Filing a submission after final rejection (37 CFR 1.129(a))                |     |
| 1203 290   | 2203 145             | Multiple dependent claim, if not paid                      | 1810         | 770   | 2810         | 385 | For each additional invention to be  |     |
| 1204 86  | 2204 43              | ** Reissue independent claims                              | 1            |   |              |     | examined (37 CFR 1.129(b))   |     |
|  |                      | over original patent                                       | 1801         | 770   | 2801         | 385 | Request for Continued Examination (RCE)                                    |     |
| 1205 18  | 2205 9               | ** Reissue claims in excess of 20 and over original patent | 1802         | 900   | 1802         | 900 | Request for expedited examination of a design application                  |     |
| SUBTOTAL (2)  **or number previously paid, if greater; For Reissues, see above |                      |  |              | Other fee (specify) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) |              |     |  | 130 |

SUBMITTED BY (Complete (if applicable) Registration No. Name (Print/Type) Donald R. McKenna 44.922 Telephone 650-623-0737 (Attorney/Agent) red a. Musika Date Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. ork Reduction Act of 1995, no persons are required to respond to Application Number 10/792,020 **TRANSMITTAL** Filing Date 03/03/2004 **FORM** First Named Inventor Khushroo Gandhi Art Unit (to be used for all correspondence after initial filing) Unknown **Examiner Name** Unknown Attorney Docket Number 10 100/03740 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication ~ Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Declarations (2); 3.73(b) Statement, Update Request for Refund **Express Abandonment Request Application Data Sheet** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Please charge Deposit Account No. 03-0177 for any additional fees associated with this paper Document(s) or duri Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                     |  |  |  |  |  |
|--|-------------------------------------|--|--|--|--|--|
| Firm<br>or<br>Individual name              | Donald R. McKenna, Reg. No. 44,922  |  |  |  |  |  |
| Signature                                  | Donald M. Milling                   |  |  |  |  |  |
| Date                                       | 7/14/04                             |  |  |  |  |  |
|  | CERTIFICATE OF TRANSMISSION/MAILING |  |  |  |  |  |

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name
Michael Moores
Signature

Date 7-14-2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.